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# **Draft Guidance Natural Resources and Biodiversity Conservation** for Certified Organic Operations

#### 1. **Purpose and Scope**

The general natural resources and biodiversity conservation requirement of the U.S. Department of Agriculture (USDA) organic regulations at 7 C.F.R. § 205.200 requires operations to "maintain or improve the natural resources of the operation, including soil and water quality." Section 205.2 of the regulations defines "natural resources of the operation" as the "physical, hydrological, and biological features of a production operation, including soil, water, wetlands, woodlands, and wildlife." [Emphasis added.] "Organic production" is defined as a "production system that is managed to respond to site-specific conditions by integrating cultural, biological and mechanical practices that foster cycling of resources, promote ecological balance, and conserve biodiversity." [Emphasis added.]

The preamble to the final rule establishing the NOP explained, "[t]he use of 'conserve' [in the definition of organic production] establishes that the producer must initiate practices to support biodiversity and avoid, to the extent practicable, any activities that would diminish it. Compliance with the requirement to conserve biodiversity requires that a producer incorporate practices in his or her organic system plan that are beneficial to biodiversity on his or her operation." (76 FR 80563)

Given the broad scope of these definitions, "maintain[ing] or improve[ing] natural resources in organic production" necessarily encompasses a range of conservation principles, including, but not limited to: protecting riparian areas; supporting native species and habitat; minimizing invasive species; maintaining air quality; promoting crop diversity and plant condition; and improving soil condition. This guidance provides examples of production practices that support these conservation principles and demonstrate compliance with 7 C.F.R. § 205.200.

This guidance also clarifies: 1) the certified organic operator's responsibility to select, carry out, and record production practices that "maintain or improve the natural resources of the operation;" 2) the accredited certifying agent's (certifier) responsibility to verify operator compliance with this requirement; and 3) how domestic organic operations that participate in a USDA Natural Resources Conservation Service (NRCS) program and the National Organic Program (NOP) can reduce their paperwork burdens.

This guidance applies to all certifiers, certified organic operations, and new applicants for certification.



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#### 2. Background

The conservation of natural resources and biodiversity is a tenet of organic production. For instance, native vegetation interspersed throughout a certified organic operation provides food, cover, and corridors for beneficial organisms, slows water down for erosion control and groundwater recharge, and filters pollution. Using practices that attract or introduce beneficial insects, provide habitat for predatory birds and mammals, and provide conditions that increase soil biotic diversity serve to supply vital ecological services to organic production systems. Advantages to certified organic operations that implement these types of production practices include: 1) decreased dependence on outside fertility inputs; 2) reduced pest management costs; 3) more reliable sources of clean water; and 4) better pollination.

The National Organic Standards Board (NOSB), a federal advisory committee that advises the USDA on organic issues, has made multiple recommendations related to natural resources conservation and biodiversity. The May 2009 NOSB recommendation asked the NOP to establish: 1) consistent discussion and review of biodiversity protection and enhancement in all certified operations' organic system plans (OSPs); 2) increased education and information for certified operations, inspectors, and certifiers; 3) uniformity of inspection and certification procedures with regard to how certified operations implement the biodiversity standards; 4) incorporation of biodiversity standards into the procedures for accreditation and certifier audits; and 5) use of materials evaluation criteria that foster consideration of biodiversity conservation when adding or deleting materials from the National List of Allowed and Prohibited Substances.<sup>1</sup> This guidance addresses the first three components of the recommendation.

#### 3. **Policy and Procedures**

## **Role of Certified Organic Operations**

- Certified organic operations and applicants for certification must develop and submit an OSP to a certifier. (7 C.F.R. § 205.201)
- In the OSP, the operation must address how it will conserve biodiversity by "maintain[ing] or improve[ing] natural resources, including soil and water quality," as required by § 205.200 of the regulations. In many cases, the certifier will provide the operation with an OSP template with a designated section for the operation to describe its activities and its biodiversity monitoring approach (e.g., visual assessment of soil erosion, species counts for biodiversity, or testing for water quality).
- Certified operations can reference Appendix A to understand the types of production practices that could be used to support natural resources conservation and biodiversity.
- For certified operations that also participate in USDA NRCS activities (e.g., conservation planning, or the Environmental Quality Incentives Program (EQIP)):
  - The operation can reference Appendix A to identify which practices may be 0 supported through NRCS as part of its conservation programs.

<sup>&</sup>lt;sup>1</sup> NOSB Recommendation on Biodiversity Conservation. May 2009. Available on the NOP Web site, http://www.ams.usda.gov/AMSv1.0/getfile?dDocName=STELPRDC5078051.



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- The operation may reference or provide evidence of a conservation plan 0 developed in conjunction with NRCS instead of developing a separate OSP section for natural resources, provided that the conservation plan addresses all the requirements of 7 C.F.R. § 205.200 and other applicable portions of the USDA organic regulations.
- The operation can also describe in its OSP the practices implemented to maintain or improve natural resources or biodiversity on a portion of land that is *adjacent* to the certified land, if this practice is likely to benefit the certified land.
  - For example, an operation may install grassed waterways or riparian strips at locations adjacent to its certified crops. When successfully implemented and maintained, these features would support the operation's overall water and soil quality. The operation could include a description of these activities in its OSP.
- The operation must implement and maintain the planned production practices as described in its OSP and maintain any records (e.g., activity logs for mowing, pest monitoring, limits on livestock access to waterways, reseeding areas, grazing rotations; water or soil testing results; visual observations; or conservation maps) that would support a certifier's ability to verify compliance.

## **Role of Certifiers**

- Certifiers must ensure that an operation's OSP or, if applicable, NRCS conservation plan addresses the operation's monitoring plan and practices to support natural resources and biodiversity, pursuant to § 205.200 of the regulations.
- Certifiers can refer to Appendix A for examples of practices that may support compliance • with 7 C.F.R. § 205.200.
- A certified operation's monitoring plan could include the frequency of monitoring, the types of observations or testing the operation plans to conduct, and the method of documentation.
- Certifiers must verify compliance with 7 C.F.R. § 205.200 by ensuring that certified organic operations are implementing their planned production practices to conserve natural resources and biodiversity. As part of the onsite inspection, certifiers should ensure that inspectors observe the conservation practices put in place, or review records that support implementation of conservation practices.
- If a certified operation is implementing practices to conserve natural resources or biodiversity on a portion of land that is *adjacent* to the certified land, and this practice directly benefits the certified land, then the inspector and certifier may consider such practices in the assessment of whether a producer meets the requirements.
- Pursuant to 7 C.F.R. § 205.504, certifiers should ensure that inspectors are sufficiently • qualified to effectively assess compliance with the general natural resources conservation and biodiversity requirement at 7 C.F.R. § 205.200. Qualifications may include, but are not limited to, the inspector's knowledge, training, and experience observing and assessing conservation activities and monitoring in organic production and handling.



**Role of Inspectors** 

- Inspectors must be qualified to assess compliance with 7 C.F.R. § 205.200. More specifically, inspectors must be able to recognize and evaluate areas where: 1) natural resources and biodiversity are already conserved; 2) conservation projects are planned; and 3) improvement is needed.
- During the onsite inspection, inspectors must verify the accuracy and implementation of the operation's production practices and monitoring approach that support the general natural resources conservation and biodiversity requirement, as described by the operation in its OSP. Inspectors may also confirm that any practices planned by NRCS are effectively implemented to support the USDA organic regulations.
- During the onsite inspection, inspectors may note exceptions to the conservation requirement such as extreme climatic conditions, or damage to the ecosystem beyond the control of the operation. The inspector should communicate this information to the certifier for consideration as part of its review and certification decision.

#### 4. References

## USDA Organic Regulations (7 C.F.R. Part 205)

7 C.F.R. § 205.2 Terms Defined.

*Crop rotation.* The practice of alternating the annual crops grown on a specific field in a planned pattern or sequence in successive crop years so that crops of the same species or family are not grown repeatedly without interruption on the same field. Perennial cropping systems employ means such as alley cropping, intercropping, and hedgerows to introduce biological diversity in lieu of crop rotation.

*Natural resources of the operation.* The physical, hydrological, and biological features of a production operation, including: soil, water, wetlands, woodlands, and wildlife.

Organic production. A production system that is managed to respond to site-specific conditions by integrating cultural, biological, and mechanical practices that foster cycling of resources, promote ecological balance, and conserve biodiversity.

*Pasture.* Land used for livestock grazing that is managed to provide feed value and maintain or improve soil, water, and vegetative sources.

Soil and water quality. Observable indicators of the physical, chemical, or biological condition of soil and water, including the presence of environmental contaminants.

7 C.F.R. § 205.200 General. 7 C.F.R. § 205.202 Land requirements. 7 C.F.R. § 205.203 Soil fertility and crop nutrient management practice standard. 7 C.F.R. § 205.205 Crop rotation practice standard.



7 C.F.R. § 205.206	Crop pest, weed, and disease management practice standard.
7 C.F.R. § 205.207	Wild-crop harvesting practice standard.
7 C.F.R. § 205.238	Livestock health care practice standard.
7 C.F.R. § 205.239	Livestock living conditions.
7 C.F.R. § 205.240	Pasture practice standard.

### **NOSB Recommendations**

Joint Crops & Compliance, Accreditation, and Certification Committee, NOSB Recommendation, "Implementation of Biodiversity Conservation in Organic Agriculture Systems," May 2009.

### **Other Laws and Regulations**

Endangered Species Act of 1973, 16 U.S.C. § 1531 et seq.



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# <u>Appendix A</u>

Examples of Practices that May Maintain or Improve Natural Resources and Biodiversity

Topics	NRCS Assistance May Be Available <sup>2</sup>	Examples of Practices <sup>3</sup>			
	Examples Relevant to All Types of Organic Certification				
Soil Composition	M	Adding organic matter to the soil to increase the diversity of soil organisms and to improve nutrient cycling, competitive exclusion of pathogens, long-term storage of soil carbon, and adaption to extreme climatic conditions.			
	V	Conserving and restoring woodlands, prairies, riparian habitats and wetland areas, which sequester carbon in soils and aid in cycling soil nutrients.			
Soil Stability and Water Quality	M	Creating, conserving, and restoring vegetative covers (woodlands, prairies, riparian habitat, and wetland areas) that control erosion and filter nutrient, pesticide, and pathogen pollutants.			
	V	Using no-till or permanent cover, conservation tillage, terracing, contour farming, micro-irrigation, windbreaks, cover crops; no conversion of Highly Erodible Land or wetlands. <sup>4</sup>			
Water Quantity	V	Using water conservation techniques that save water for crops, livestock, wildlife, and riparian ecosystems.			
		Choosing crops and other plants that are appropriate for the climate and landscape with water conservation in mind.			
	V	Using suitable irrigation systems and schedules and monitoring them for water conservation.			
	M	Woodlands, riparian habitat, and wetland areas that act as sponges to hold water for long periods are conserved and restored as part of a healthy water cycling process.			
	V	Using managed systems to "bank" soil moisture if fields are drained using tiles.			
Wildlife Benefits	V	Maintaining or improving diverse mixtures of plants to provide food, habitat, or shelter for pollinators, insects, spiders and other arthropods, bats, and raptors.			

<sup>&</sup>lt;sup>2</sup> NRCS financial assistance programs are listed at <u>http://1.usa.gov/1kwzgz0</u>. NRCS staff and technical service providers may reference this chart as part of conservation planning for organic producers.

<sup>&</sup>lt;sup>3</sup> While NRCS publishes national Conservation Practice Standards (<u>http://1.usa.gov/1n8fcHG</u>), each State has its own technical specifications, which can be accessed electronically through the NRCS Field Office Technical Guide (<u>http://efotg.sc.egov.usda.gov/</u>) or by contacting your local USDA Service Center (<u>http://1.usa.gov/1kwzgz</u>0).

<sup>&</sup>lt;sup>4</sup> The Farm Service Agency and NRCS Fact Sheet on Highly Erodible Land Conservation and Wetland Conservation Compliance

<sup>(</sup>http://l.usa.gov/lxH45sT) defines highly erodible land and wetlands and provides information on the regulations that apply to each type of land.



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Native Species and Natural Areas of the Operation	M	Conserving high-value conservation areas that have outstanding biodiversity importance, or mitigating/restoring these areas elsewhere on the farm.
	M	Conserving and restoring wildlife and native plant communities specific to the site (woodlands, prairies, riparian habitat, and wetland areas).
	Ø	Documenting rare, threatened, and endangered terrestrial and aquatic plants and animals, and taking steps to protect them. <sup>5</sup>
		Conserving wildlife corridors and large blocks of habitat that reduce fragmentation.
		Making improvements to streams, lakes, and rivers, enhancing habitat for fish and other aquatic species.
		Allowing degraded riparian areas, prairies, and wetlands to be recolonized through natural processes.
		Actively restoring degraded land to its native habitat using species adapted to and historically present in the area. <sup>6</sup>
Invasive	V	Closely monitoring invasive plants and animals threatening natural areas.
Plants and	V	Controlling invasive species before they spread.
Animals		Avoiding seed, planting stock, soil amendments, and mulches
		that may import weed seeds and other pests.
	Ex	amples Specific to Crop Operations
	V	Using nutrient budgets to protect water quality by managing crop nutrients.
Soil Stability and Water Quality	V	Designing grassed waterways, filter strips, terraces, and other non-crop vegetation, and managing them to help control erosion and filter pollutants before they reach water bodies.
	Ŋ	Using stream crossings, brush mattresses, and other engineered features to prevent erosion where year round or intermittent water flows.
	Ŋ	Using sediment basins to capture runoff sediment before it leaves the farm.
Co-existing with Wildlife	Ø	Taking mitigation measures to minimize total habitat loss on adjacent land when wildlife is restricted from entering the production area.
		Designing and using management strategies as much as possible to repel, rather than destroy, intended and unintended species.
Supporting Wildlife		Strategically using mowing, tilling, and harvesting methods to preserve sites where wildlife raise their young.

<sup>&</sup>lt;sup>5</sup> The NatureServe database(<u>www.natureserve.org/explorer/</u>) and the U.S. Fish and Wildlife Service database (<u>http://endangered.fws.gov/</u>

wildlife.html#Species) provide information on the conservation status of area plants and animals. <sup>6</sup> The NRCS PLANTS database (http://plants.usda.gov) provides information on native plants in each county of the U.S.

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		Growing a variety of crop types, heirloom crops, or several			
Crop		genetic strains of the same crop.			
Diversity		Growing locally-adapted seed varieties or those suited to site- specific conditions.			
	Ever	1			
Soil Stability	Examples Specific to Livestock Operations				
Soil Stability and Water Quality	$\mathbf{\overline{A}}$	Managing the frequency, intensity, and timing of grazing and forage harvests to protect soil and water quality.			
	$\checkmark$	Controlling access to sensitive riparian areas and wetlands as much as possible.			
Wildlife	V	Composing pasture plantings of diverse species and managing them to support livestock and native species.			
Co-existing with Wildlife		Using non-lethal predator control before lethal methods (e.g., guard animals, grazing large and small animals together, grazing when predation is low, or housing vulnerable animals overnight).			
	Ø	Encouraging diverse native landscapes that support natural prey for carnivorous animals to reduce the carnivores' predation of livestock.			
	$\mathbf{\overline{N}}$	Using wildlife-friendly fencing as much as possible.			
Livestock Diversity		Raising a variety of livestock, including heirlooms, or several breeds of the same livestock.			
		Preserving locally-adapted livestock breeds, or raising those well suited to site-specific conditions.			
	Examp	bles Specific to Wild Harvest Operations			
Soil Stability and Water Quality		Using practices for wild harvest that maintain or improve soil stability.			
		Using practices for wild harvest that maintain or improve water quality.			
Native Species and Natural Areas of the Operation		Maintaining the sustainability of harvested native plants and animals and associated species when determining the quantity and timing of the wild harvest.			
	Exar	nples Specific to Handling Operations			
Air and Water Quality		Operations that use dust collection systems have up-to-date permits for their operation.			
	$\checkmark$	Using constructed wetlands to improve wastewater quality.			
		When necessary, processing wastewater using secondary or tertiary treatment prior to flowing through the wetland.			
Wildlife Benefits	Ø	Locating raptor perches around packing sheds and processing facilities to control rodents without using toxins.			



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Co-existing with Wildlife	Avoiding harm to wildlife from processing waste.
	Strategically placing lighting around buildings to lessen the need for insectocutors (e.g., placing light fixtures away from vents, windows, or doors).
	Removing habitat and food sources from directly adjoining areas where pests could enter the facility, to decrease the need to destroy pest insects, birds or mammals.